This self assessment tool is structured to provide you with a variety of ways to use it. The most helpful way will be for you to have your direct employer or those to whom you report complete this assessment first. They will note which activities are required in the position and at what activity level. You can then follow with your own assessment of how well you perceive your are equipped to perform the activity.

Some positions will have more or fewer required activities than others. Few, if any, positions will require all the activities.

Use the comparison between your employer's perceived requirement and your self assessment to determine where you do and do not need to plan for further development.

Example:

This employer identified the following activity as a position requirement. Then he/she designated the appropriate activity assessment level by writing in the letter "P" for Proficient; and activity requiring learned capabilities.

The employee in the position designated the letter "K" for Knowledgeable with reference materials or sources. This employee will need to develop, through practice, the ability to do this activity more efficiently and without references.



Identifies and assesses internal and external customer requirements

Purpose

The ADOT Facilitator Competency Matrix serves as the standard for selection, training and development of Team Facilitators at ADOT. It provides an overview of the facilitator role, and gives detail on the experience, attributes and skills required for the various levels of facilitator services.

Activity Assessment Definitions:

E = Expert

An area of special gifts. The ability, without reference materials or sources, to be proficient both in determining and performing the extent of the skill required to creatively meet the activity requirements.

P = Proficient

An area of learned capabilities. The ability, with reference materials or sources, to be able to distinguish or describe and perform the skill in order to meet the activity requirements

K = Knowledgeable

D = Developmental

Would require additional learning and/or skill development.

Levels of Facilitator Competency

Entry Level

Someone qualified to begin a program of training to become a Team Facilitator

Startup Level

Someone qualified to become a Team Facilitator after attending the basic facilitator training classes

Level I Facilitator

Someone qualified to facilitate within teams

Level II Facilitator

An experienced, qualified facilitator within teams and in interteam situations

Level III Facilitator

A highly qualified and experienced facilitator of team, interteam and organizationwide activities

DEFINITIONS	CANDIDA	TE LEVELS	F.A	ACILITATOR LEVE	LS
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Basic Capability and Experience to Participate	Experience as a group member in an ongoing group. Achieved credibility in group involvements. Will need to attend various training pro-grams in preparation for becoming a Level I Facilitator.	Experience as an effective group member Experience resenting to groups and running group meetings. Has some group leadership experience. Achieved credibility in group involvements, and has experience with facilitation methods or leading groups. Will become a facilitator after attending the basic facilitator.	Completed the ADOT-required facilitator training as prescribed during candidacy. Focuses on working within a team. Understands the role of a facilitator, has basic knowledge of group dynamics and uses facilitator techniques to lead group meetings. Has potential to develop expertise working within a team.	One year of facilitation experience and appropriate training. Comfortable and skilled working both within a team and in interteam situations.	Two years f active facilitation experience and appropriate training. Comfortable and skilled working within a team, in interteam situations, and organizationwide.
Development Potential			Has the experience, attributes or skills listed on the following pages, or demonstrates potential for growth I these areas.	Demonstrates competence or potential for growth in the attributes and skills listed on the following pages, in addition to those expected for Level II facilitators.	Demonstrates competence or potential for growth in the attributes and skills, listed on the following pages, in addition to those expected for Level II facilitators.

EXPERIENCE	CANDIDAT	E LEVELS	FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Group Experience	Experience as a credible group member.	Experience as a credible group member.			
		Experience presenting to a group and running group meetings.			
		Experience recording or documenting notes for group work.			
Presentation Experience	Able to present ideas and information clearly as a group member.	Experience presenting to a group using some type of audiovisual aids.			
Facilitation Experience		Experience leading groups or with facilitation methods.	Successfully completed facilitator training.	One year experience as a facilitator.	Two year experience as facilitator.
ATTRIBUTES					
Group Orientation	Comfortable working in a group and expressing self in group settings. Demonstrates willingness to share information and work in a group setting.	Able to explain in an orderly fashion and to present the rationale behind something.	Ability to separate oneself from the issues at hand without interjecting own personal agendas. Willing to expose oneself to some criticism and scrutiny for the sake of the team's progress.	Willing to share with, support and seek help from other facilitators.	

ATTRIBUTES	CANDIDAT	TE LEVELS	FA	CILITATOR LEVE	ELS
cont.	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Presentation	Speaks up in groups				
Credibility	Respected by peers and supervisors. Has credibility as someone with humane behavior and integrity. Someone who does own job well.	Does own job well and has positive influence with others.	Positive influence on team development and productivity.	Achieved credibility as a team facilitator.	Positive influence on interteam work. Has promoted employee involvement organizationwide.
Judgment	Takes an objective stance. Approaches issues with an open mind.	Has been involved working on an organizational issue and demonstrated ability in knowing when and how to maintain confidentiality.	Exercises good judgment and has the ability to maintain confidentiality.	Understands the need and senses appropriate timing for interfacing with other teams.	Facilitates bringing ideas and issues to an organizationwide visibility.
Workload Management	Ability to manage own time and workload effectively.	Ability to link own work with that of others.	Ability to help a team manage its times and workload.	Ability to link team's work with that of another.	Ability to facilitate workload management across teams.

ATTRIBUTES	_	E LEVELS	FA	CILITATOR LEVE	LS
cont.	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Listening	Learns from others.	Willing to downplay own ego and agendas wile listening to others. Can maintain neutrality. Learns	Role models good listening skills to the team. Suggests ways team members can listen to and learn from one another.	Intervenes to facilitate good listening among team members. Designs and uses group processes that encourage good listening.	Gives feedback to people at all levels in the organization on their listening skills.
Conflict Management	Is comfortable enough with self not to be fearful of conflict. Recognizes conflict as normal.	Is not afraid of conflict. Recognizes conflict as natural and takes initiative to work through it.	Applies group processes which resolve or minimize conflict.	Facilitates group conflict resolution.	Facilitates interteam or cross-functional resolution of conflict
Risk Taking	Willing to take risks for the overall good of the organization.	Willing to go against some organizational pressure. Has demonstrated risk taking and intervening in organizational situations.	Comfortable confronting situations, teams and individuals, regardless of their position or hierarchy.	Skilled at confronting situations.	Effectively confronts situations, teams and individuals regardless of their position or hierarchy.
Role Flexibility	Not in one's position for the sake of position. Focuses on work that needs doing as opposed to one's position in the organization.	Capable of moving in and out of roles.	Presents self as neither dictatorial or overly flexible. Adapts role style as situation demands.	Aware of roles available to a facilitator. Shows willingness to try different roles in appropriate situations.	Is comfortable and skilled at moving into different facilitator roles as the situation demands. Coaches team leaders in role flexibility.

ATTRIBUTES	CANDIDAT	E LEVELS	FACILITATOR LEVELS		
cont.	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Conceptual Abilities	Gathers necessary information; knows what resources to use. Thinks conceptually. Sees the big picture.	Organizes and presents information in a clear manner. Able to summarize briefly from a large amount of data. Expresses thoughts and ideas clearly and concisely.	Relates and explains information to a team in light of the bigger picture (e.g., organization goals).	Designs simple models which relate and explains information in an understandable and motivating way. Uses and explains other models.	Spots trends, patterns and other organizationwide phenomena. Helps others understand these concepts.
Human Relations	Sensitive to people. A likeable, trustworthy person; a "team player". Able to get along with a variety of people.	Competent in organizing people (e.g., to plan an event, solve a problem, form a group, etc.)Í	Focuses on the situation rather than on the individual. Exercises authority and control without arrogance or intimidation. Assumes a stance of neutrality, even in highly charged discussions of personal concern or interest. Understands and values diversity of people and opinions. Demonstrates willingness to understand work force diversity concerns.	Deals with high level management in a meeting setting. Deals with all levels of employees without showing favoritism or disrespect. Coaches and listens to new facilitators, giving them needed ideas and support. Facilitates active inclusion of diverse people and opinions in all aspects of group work.	Deals comfortably with high level management, in individual and group situations, both formally and informally. Works with a mix of levels in a way that values and respects everyone. Designs and leads productive meetings at which there is a mix of employees. Facilitates valuing of diversity organizationwide.

SKILLS cont.	CANDIDAT	TE LEVELS	FA	CILITATOR LEVE	LS
Enthusiasm, Learning and Creativity	Sincerely wants to see things work and to see improvements. Open to trying out teams and other approaches. Accepts changes; doe not view change as threatening.	Has own ideas about what might work at ADOT. Takes initiative to try new things. Experiments with different perspectives and approaches. Has read about and observed new approaches, such as teams. Has tried to create or support change or improvements that were not necessarily popular among peers.	Influenced team to take initiative, try new approaches, and explore different perspectives. Uses group processes which foster creativity and exploration. Role models an open-minded approach to team problem solving. Shows enthusiasm for team's efforts to reach beyond current limits and boundaries. Learns along with team.	Takes initiative to suggest and try new approaches to team meetings, methods, and interactions. Learns from past experience with teams. Is selfmotivated to continue learning facilitation skills and concepts.	Demonstrates enthusiasm and commitment to continual growth as a facilitator. Increases own learning and understanding of the role and skills of a facilitator with an organizationwide focus. Learns and tries new approaches to team efforts. Shows an interest in furthering own experience in organization design and development.
Facilitation Skills			Controls current work and manages additional work. Understands and applies the role of a facilitator.	Contributes information and ideas which will help new facilitators understand the role. Collaborates with the team leader for best possible blend of facilitator and leader roles.	

SKILLS cont.	CANDIDAT	TE LEVELS	FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Facilitation Skills cont.			Understands the difference between presenting and facilitating. Knows when to employ each for best results. Knows how to function both was a presenter and a facilitator. Knows when to "change hats." Understands and supports the role of the team leader. Knows how to blend with that role. Remains neutral during meetings. Avoids lecturing or "having all the answers." Explains role of facilitator (and other roles) to the team. Uses the Team Handbook; refers to it with the team as necessary.		Molds the role of facilitator to best serve the organization and accomplish its mission. Coaches team leaders and other facilitators so that learning beyond training occurs.

SKILLS cont.	_	TE LEVELS	FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Participation Management	Captures and frames ideas presented by others.	Captures and frames ideas presented by others	Uses facilitator techniques to draw out all group members. Competent in taking notes on an easel or black/white board and summarizing information for the team. Records team member inputs briefly and accurately . Writes clearly on the flip chart/board. When using a recorder, guides and coaches recorder so all ideas are captured as accurately as possible. Effectively explains the rationale of group methods being used (e.g., brainstorming, nominal group technique, story boarding, etc.)	Skilled and at ease using facilitator techniques.	Applies facilitator techniques even in settings where involvement and openness may be resisted.

SKILLS cont.	CANDIDAT	E LEVELS	FA	CILITATOR LEVE	LS
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Participation Management cont.			Helps team leader or managers present not takeover. Encourages manager to be informative, not controlling. Ability to hear not only what is said but also the thoughts and feelings behind the words. Basic ability to sense both verbal and nonverbal communication. Encourages divergent viewpoints, increases group creativity, and guides group to more indepth approaches. Effectively uses subgroups to facilitate the work of the larger group.	Has developed own best systems for recording, preserving and documenting team data, maybe even facilitated team to do some of this work. Records, organizes and manipulates chart pages for ease of use by all in a team meeting. Based on own experience, explains how group methods have been used successfully in other teams. Works effectively with excessively dominant team members or managers. Ability to hear not only what is said but also the thoughts and feelings behind the words.	Addresses questions and resistance to the use of group methods. Anticipates who will act in what ways and plans meeting accordingly. Able to unblock people's thoughts and feelings for the sake of the team.
Participation			Gives clear, con-	Demonstrates ability	
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SKILLS cont.	CANDIDATE LEVELS		FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Management cont.			cise, verbal and/or written directions for group activities. Senses when directions are not clear. Facilitates group's own ability to maintain its sense of purpose.	to "read" a group or individuals. Challenges a team to explore or question its own assumptions or conclusions. Selects appropriate leaders or facilitators for subgroup work.	
Presentation	Verbalizes own and others' ideas well.	Verbalizes own and other's ideas well	Gives effective group presentations Gathers information and presents it to the team in an orderly, relevant fashion.	Explains new ideas and methods to team members.	
Design			Assists team leader in planning team meetings. Selects appropriate meeting objectives and group processes.	Designs a meeting or an intervention between individuals, within a team, or across teams. Develops effective feedback systems.	Designs structured team tasks.
Coaching and Feedback			Assists team leader in planning team	Is known to frequently ask team	Skilled at facilitating team's evaluation of
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SKILLS cont.	CANDIDAT	E LEVELS	S FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
			meetings. Coaches individuals and the team.	members for feed-back on how the team meetings and processes are working. Facilitates the team's evaluation of its meetings and its progress. Meets with and informs appropriate organizational members outside the team to assure interteam cooperation.	itself, its meetings, its work. Knows who to inform bout what teams are doing across the organization. Informs them in a timely and appropriate manner.
Meeting Management			Is skilled and comfortable running meetings in either the information/ presentation mode or the interaction mode with full participation.	Competent in working with the team leader to plan, facilitate and follow up on meetings.	Skilled at managing cross-organizational meeting logistics and follow-up.
Meeting Management cont.			Effectively coordinates pre- and postmeeting logis-	Maintains key bal- ances in team meet- ing; between tight	

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SKILLS cont.	CANDIDATE LEVELS		FA	CILITATOR LEVE	LS
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
			tics; coaches team leader when necessary. Facilitates the writing of brief, clear and achievable objectives for a team meeting. Manages the team's time well.	and loose structure, fast and slow pace, process and results, concern for individuals and for the group, facilitator leading the team and the team leading itself, etc.	
Group Dynamics			Applies principles of group behavior to achieve effective group dynamics in the team setting. Observes group interpersonal behaviors and forms conclusions of group effectiveness.	"Reads" group and improvises meeting processes when necessary. Helps the team avoid "group think" or arrive at hasty, ill-thought-out conclusions.	Applies principles of group dynamics to interteam settings.
Group Dynamics cont.			Knows how to help group set its own norms and standards; suggests	Encourages and coaches team members to behave in ways that will help	
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SKILLS cont.	CANDIDATE LEVELS		FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
			helpful team norms (e.g., hearing one another out, attend- ing all meetings, etc.). Helps team enforce its own norms.	the team do its work (e.g., initiate ideas, give information, seek others opin- ions, listen actively, etc.) Encourages and coaches team members to design team's work around the strengths and preferences of indi- viduals on the team.	
Resolving Conflict and Handling Difficult Situations			Uses effective methods to resolve conflict both between individuals and in the team. Effectively manages meeting disruptions. Steers team members away from dysfunctional group behaviors.	Facilitates the resolution of interteam conflicts. Recognizes difference between values, personality and work-related conflicts and intervenes accordingly.	Mediates cross- organizational and interteam conflict.
Resolving Conflict and Handling Difficult			Intervenes when group work be-comes dysfunctional.		

SKILLS cont.	CANDIDATE LEVELS		FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
Situations cont.			Keeps the group focused on its goal when in a conflict situation.		
Participation Methodologies			Uses group process methodologies to bring the team to consensus. Facilitates team's goal setting responsibilities Facilitates problemsolving steps in team activities. Knows when and how to integrate Business Process Improvement methods into team activities (does not need to know the BPI methods).	Successfully uses a variety of methods to bring the team to consensus. Knows how to use the Tools for Quality. Knows the Business Process Improvement Tools.	Influences organization in developing and implementing participation methodologies.
Organizational Development				Successfully uses a variety of methods to bring the team to consensus.	Integrates team into the broader organization.
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DEFINITIONS	CANDIDATE LEVELS		FACILITATOR LEVELS		
	ENTRY	STARTUP	LEVEL I	LEVEL II	LEVEL III
				Knows how to use the Tools for Quality. Knows the Business Process Improvement Tools.	Facilitates change and innovation within teams and across the organization. Has a basic knowledge of organization development and design. Comes into a group department or division as an outsider and facilitates meetings. Understands the impact team efforts have on organizations and their employees. Has basic knowledge of how organization-wide team efforts progress over time.
Organizational Development cont.					Supports the development of a team from it inception into

ENTRY STARTUP LEVEL I LEVEL II	LEVEL III
	'
	group; into an efficient, independent team; and finally into an effective interdependent organizational unit.